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JAN C 4 2019

Washington, D.C. 20549

Washington, DC

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Cboe BZX Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See Attached. 3. Class of New Derivative Securities Product: See Attached. 4. Name of Underlying Instrument: 19003816 See Attached. 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See Attached. Securities and Exchange Commission Ticker Symbol(s) of New Derivative Securities Product: 6. Trading and Markets See Attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument JAN 282019 Trades: See Attached. Settlement Methodology of New Derivative Securities Product: 8. RECEIVED Trades Locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing Requirings Exphange Act of 1934 standards. Name of Official Responsible for Form: Anders Franzon Public Title: JAN 28 2019 SVP, Deputy General Counsel Availability: Telephone Number: 913-815-7154 Manual Signature of Official Responsible for Form: Date: January 2, 2019

## ATTACHMENT TO FORM 19b-4(e)

FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE BZX EXCHANGE, INC. COMMENCING ON DECEMBER 28, 2018

	(2) Type of			(7) Market(s) upon Which Securities Comprising	(5) Broad
(6) Ticker Symbol	<u>Issuer of</u> <u>NDSP</u>	(3) Class of NDSP	(4) Name of Underlying Instrument	Underlying Instrument Trades	<u>or</u> <u>Narrow</u>
HYD	Trust	Investment Company Units	Bloomberg Barclays Municipal Custom High Yield Composite Index	U.S.	Narrow
ITM	Trust	Investment Company Units	Bloomberg Barclays AMT- Free Intermediate Continuous Municipal Index	U.S.	Narrow
SMB	Trust	Investment Company Units	Bloomberg Barclays AMT- Free Short Continuous Municipal Index	U.S.	Narrow
MLN	Trust	Investment Company Units	Bloomberg Barclays AMT- Free Long Continuous Municipal Index	U.S.	Narrow
SHYD	Trust	Investment Company Units	Bloomberg Barclays Municipal High Yield Short Duration Index	U.S.	Narrow
ХМРТ	Trust	Investment Company Units	S-Network Municipal Bond Closed-End Fund Index	U.S.	Narrow
PRB	Trust	Investment Company Units	Bloomberg Barclays Municipal Pre-Refunded- Treasury-Escrowed Index	U.S.	Narrow
TILT	Trust	Investment Company Units	Morningstar® US Market Factor Tilt IndexSM	U.S.	Broad
ESG	Trust	Investment Company Units	STOXX USA ESG Impact Index	U.S.	Broad

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Securities and Exchange Commission Trading and Markets

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January 2, 2019

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Washington, DC

Ms. Claudette Ransom Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

Securities and Exchange Commission Trading and Markets

Form 19b-4(e) - Cboe BZX Exchange, Inc. RE:

Ms. Ransom,

On behalf of Cboe BZX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding nine (9) derivative securities products that commenced trading on Choe BZX Exchange on December 28, 2018. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon SVP, Deputy General Counsel 913.815.7154

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